

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
0112300-739

In Re Application Of: **Anthony J. Baerlocher et al.**

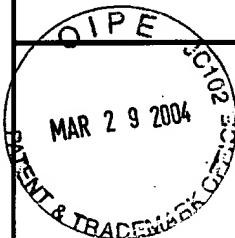
Serial No.  
**09/964,102**

Filing Date  
**September 26, 2001**

Examiner  
**Unknown**

Group Art Unit  
**3711**

Title: **GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

RECEIVED  
APR 01 2004  
TECHNOLOGY CENTER 23700

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
0112300-739

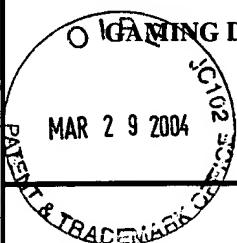
In Re Application: Anthony J. Baerlocher et al.

Serial No.  
09/964,102

Filing Date  
September 26, 2001

Examiner  
Unknown

Group Art Unit  
3711



GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS

RECEIVED  
APR 01 2004  
TECHNOLOGY CENTER R3700

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.

Charge the amount of \_\_\_\_\_

Credit any overpayment.

Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on 3/26/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

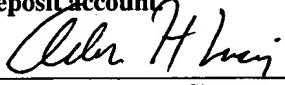


Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.



Signature

Adam H. Masia (Reg. No. 35,602)

BELL, BOYD & LLOYD LLC

P. O. Box 1135

Chicago, Illinois 60690-1135

Dated: March 26, 2004

CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**0112300-739**

In Re Application Of: **Baerlocher et al.**

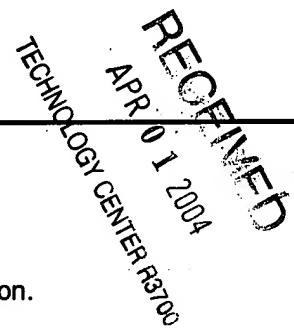
Serial No.  
**09/964,102**

Filing Date  
**September 26, 2001**

Examiner  
**Nguyen**

Group Art Unit  
**3713**

Invention: **GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS**



TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*Signature*

**Adam H. Masia (Reg. No. 35,602)  
BELL, BOYD & LLOYD LLC  
P. O. Box 1135  
Chicago, Illinois 60690-1135**

**Dated: March 26, 2004**

**Certificate of Mailing by First Class Mail**

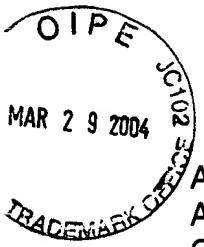
I certify that this document is being deposited on  
**March 26, 2004** with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

*Signature of Person Mailing Correspondence*

**Renee Street**

**Typed or Printed Name of Person Mailing Correspondence**

**cc:**



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Anthony J. Baerlocher et al.  
Appl. No.: 09/964,102  
Conf. No.: 3016  
Filed: September 26, 2001  
Title: GAMING DEVICE WITH WILD ACTIVATION SYMBOLS AND WILD TERMINATION SYMBOLS  
Art Unit: 3713  
Examiner: Nguyen  
Docket No.: 0112300-739

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
APR 01 2004  
TECHNOLOGY CENTER 2400

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

#### U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
5,980,384	November 9, 1999	Barrie
6,117,009	September 12, 2000	Yoseloff

#### FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor(s)</u>
GB 2 193 827 A	February 17, 1988	Great Britian
WO 00/32286	June 8, 2000	PCT

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY



Adam H. Masia  
Reg. No.35,602  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4284

Date: March 26, 2004

O I P E  
M A R 2 9 2004  
S E N T & T R A C E D

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)		Atty Docket No. 0112300-739	Application No. 09/964,102
		Applicant Anthony J. Baerlocher et al.	
PTO Form 1449		Filing Date September 26, 2001	Group 3711

<b>U.S. PATENT DOCUMENTS</b>							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		5,980,384	November 9, 1999	Barrie			
		6,117,009	September 12, 2000	Yoseloff			

RECEIVED  
APR 01 2004  
TECHNOLOGY CENTER 2400

<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner's Initials		Document Number	Publication Date	Country	Class	Subclass	Translation
							Yes No
		GB 2 193 827 A	February 17, 1988	Great Britain			
		WO 00/32286	June 8, 2000	PCT			

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner:	Date Considered:
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	